

Chief Compliance Officer 416.640.6703 michael.bignell@integralwealth.com

## **Disclosure Regarding Principal and Agent Relationship**

Integral Wealth Securities Limited (Integral) wants you to be aware of the following information further to the Investment Industry Regulatory Organization of Canada (IIROC) By-Law 39:

- Randy McIntyre is an agent of Integral as defined under the IIROC By-Law 39;
- Pure Advice is a trade name which Randy McIntyre may use which is a financial concepts organization and does not provide trading advice in securities or exchange contracts;
- Integral shall be liable to you for the acts and omissions of the Agent solely relating to "securities related business" as if the Agent were an employee of Integral;
- "securities related business" means any business or activity (whether or not carried on for gain) engaged in, directly or indirectly, which constitutes trading or advising in securities or exchange contracts (including commodity futures contracts and commodity futures options) for the purposes of applicable securities legislation and exchange contracts legislation in any jurisdiction in Canada, including for greater certainty, sales pursuant to exemptions under that legislation;
- any business activity, other than a "securities related business" activity, that is conducted by Agent is the responsibility of the Agent alone and is not the responsibility of Integral;
- Integral is aware that the Agent conducts the following activities that are not a "securities related business":
  - The sale of life insurance under registration with Financial Services Commission of Ontario (Agent # 94011217).
  - Conducts basic Income Tax preparation services under 1437130 Ontario Inc.

If you have any questions or comments, please feel free to contact your Investment Advisor, the Branch Manager or the undersigned.

Yours truly,

## **INTEGRAL WEALTH SECURITIES LIMITED**

Michael Bignell Chief Compliance Officer



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